

10/11/01

## UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
A319-11c978 U.S. PTO  
09/973795

10/11/01

**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Kazuya ONO

corresponding to Japanese application 2000-310113, filed 11  
October 2000,**entitled:** SERIAL COMMUNICATION DEVICE AND METHOD OF CARRYING OUT  
SERIAL COMMUNICATION

## Enclosed are:

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 9 pages of specification.   |
| <input checked="" type="checkbox"/> | 3 sheets of formal drawings.  |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor.   |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application<br>Serial No. , filed .  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application,<br>from which a copy of the oath or declaration is supplied as indicated in the<br>preceding box, is considered as being part of the disclosure of the accom-<br>panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation , including assignment cover sheet.   |
| <input checked="" type="checkbox"/> | Information Disclosure Statement with Form PTO-1449.  |
| <input checked="" type="checkbox"/> | copies of the Information Disclosure Statement citations.   |
| <input type="checkbox"/>            | preliminary amendment.  |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.  |
| <input type="checkbox"/>            | applicant claims small entity status under 37 CFR 1.27.   |
| <input checked="" type="checkbox"/> | a certified copy of the Japanese Priority Document.   |
| <input checked="" type="checkbox"/> | other: Abstract of the Disclosure, Application Data Sheet .   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-  
tion.☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application No. , filed .

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Customer No. 000466.  |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street,<br>Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.   |

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(continued)

Docket No.

A319-1

**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 740	\$ 740
TOTAL CLAIMS	18 - 20 =	0	x\$ 18	
INDEPENDENT CLAIMS	6 - 3 =	3	x\$ 84	252
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 280	
<b>TOTAL</b>				\$992

If applicant claims small entity status under 37 CFR 1.27, then divide total fee by 2, and enter amount here.

**SMALL ENTITY  
TOTAL**

\$

☒

A check in the amount of \$1032 to cover the filing fee is enclosed.

☒

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.

☒

Charge the amount of \$ as filing fee.

☒

Credit any overpayment.

☐

Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.

Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.



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October 11, 2001